## Lesson 8: Introduction to Recursive and Recursively Enumerable Languages

Recursive and recursively enumerable languages are two classes of languages in formal language theory. These classes describe different levels of computability and decidability. Let's provide an introduction to recursive and recursively enumerable languages:

### **Recursive Languages:**

Recursively enumerable languages, also known as computably enumerable languages or simply RE languages, are a broader class of languages that can be recognized by a Turing machine that may halt and accept for strings belonging to the language, but may run indefinitely or loop for strings that do not belong to the language. In other words, a Turing machine for an RE language may not always produce a "no" answer for inputs that are not part of the language.

Recursively enumerable languages can be thought of as languages for which there exists a Turing machine that, when presented with a string belonging to the language, eventually halts and accepts the string. However, if the string does not belong to the language, the Turing machine may either halt and reject, loop indefinitely, or not halt at all. This characteristic makes recursively enumerable languages more general and less restrictive compared to recursive languages.

Recursively enumerable languages are closed under union and concatenation operations, but not under intersection and complement operations. Examples of recursively enumerable languages include the language of all valid Turing machine encodings, the language of all valid programs in a given programming language, and the language generated by a grammar that generates an infinite number of strings.

### Recursively enumerable languages

Recursively enumerable languages, also known as recursively enumerable or semi-decidable languages, are a broader class of languages within formal language theory. Unlike recursive languages, which have a decision procedure to determine membership in the language, recursively enumerable languages have a more relaxed requirement. A language is considered recursively enumerable if there exists a Turing machine that, on any input string belonging to the language, eventually halts and accepts the string. This means that for strings in the language, the Turing machine will always accept them. However, for strings not in the language, the Turing machine may either halt and reject the string or continue running indefinitely without reaching a definitive conclusion.

Recursively enumerable languages can be effectively enumerated, which means there exists a Turing machine that can generate all the strings in the language. This enumeration process will eventually produce all the strings in the language, but it may not terminate on strings not in the language. In other words, the Turing machine will either output strings in the language or continue running indefinitely without outputting anything for strings not in the language.

It's important to note that recursively enumerable languages are not closed under certain operations. For example, they are closed under union and concatenation. If two recursively enumerable languages L1 and L2 are given, there exist Turing machines that can enumerate the strings in L1 and L2. By combining these Turing machines, a new Turing machine can be constructed to enumerate the strings in the union (L1  $\cup$  L2) or the concatenation (L1L2) of the two languages.

However, recursively enumerable languages are not closed under intersection or complement. That means there is no guarantee that the intersection of two recursively enumerable languages or the complement of a recursively enumerable language will also be recursively enumerable.

The notion of recursively enumerable languages expands the set of languages beyond the scope of recursive languages. While recursive languages have a decision procedure to determine membership, recursively enumerable languages provide a more relaxed condition where membership can be recognized but not necessarily decided with certainty. This distinction has significant implications for the study of computability, complexity, and the limits of computation.

In summary, recursive languages are a class of languages for which a Turing machine can always determine membership in a finite amount of time, while recursively enumerable languages are a broader class for which a Turing machine can eventually halt and accept strings belonging to the language. Recursive languages are a subset of recursively enumerable languages, representing languages with stronger computational properties.

# Decidable Problems and Their Relation to Recursive Languages

### Understanding decidability in the context of formal languages

Decidability is a fundamental concept in formal language theory that relates to the ability to algorithmically determine whether a given string belongs to a language or not. It pertains to the existence of a decision procedure or algorithm that can provide a definitive answer, either accepting or rejecting, for any input string.

In the context of formal languages, decidability refers to the ability to decide membership in a language. A language is said to be decidable if there exists an algorithm or Turing machine that can, for any input string, halt and produce the correct answer, indicating whether the string belongs to the language or not.

Decidable languages are also known as recursive languages. They can be effectively recognized, with a decision procedure that guarantees a definite answer for any given input. Recursive languages can be recognized by specific types of machines or formal systems, such as deterministic finite automata (DFAs), pushdown automata, or Turing machines.

The decidability of a language implies that there is an algorithmic approach to solve problems related to that language. It enables the automation of certain tasks and provides a foundation for computational analysis and problem-solving. For example, if a language is decidable, we can design algorithms to search for patterns in strings, check syntactic correctness, or perform other language-specific operations.

On the other hand, undecidable languages, also known as non-recursive languages, lack decision procedures or algorithms that can provide a definitive answer for every input string. Undecidability arises when there are instances where the algorithm or Turing machine may run indefinitely without halting or fail to produce a conclusive result.

The concept of decidability has significant implications for the study of formal languages and computation. It helps establish boundaries between languages that can be effectively processed and those that are beyond the reach of algorithms. Undecidable languages challenge the limits of computation, highlighting the existence of problems that cannot be solved algorithmically. Decidability plays a crucial role in various areas of computer science, such as compiler design, programming language theory, formal verification, and algorithmic complexity analysis. It provides a theoretical framework for understanding the computational properties of languages and serves as a foundation for developing efficient algorithms and solving practical problems in these domains.

### Definition and properties of decidable problems

A decidable problem, also known as a computable problem, is a problem for which there exists an algorithm or a Turing machine that can provide a definite answer (either "yes" or "no") for any instance of the problem. In other words, a decidable problem has a decision procedure that halts and produces the correct answer for all inputs.

Formally, a problem P is decidable if there exists an algorithm that, given any input x, will terminate in a finite amount of time and output the correct answer, indicating whether x satisfies the property defined by the problem P.

### **Properties of Decidable Problems:**

1. Correctness: A decidable problem guarantees that the algorithm or Turing machine will produce the correct answer for all inputs. The decision procedure is designed to accurately determine whether an instance belongs to the set defined by the problem.

2. Termination: A decidable problem ensures that the decision procedure always terminates. The algorithm or Turing machine halts on every input, providing a definitive answer within a finite amount of time.

3. Determinism: The decision procedure for a decidable problem is deterministic, meaning that it follows a precisely defined sequence of steps for each input. Given the same input, the decision procedure will always produce the same output.

4. Completeness: A decidable problem covers all possible inputs. Every instance of the problem can be classified as either satisfying the defined property or not.

5. Formal Definition: Decidable problems can be formally specified using languages or formal systems. They are often represented by sets of strings, and the decision procedure determines membership in these sets.

6. Algorithms: Decidable problems are associated with algorithms that solve them. These algorithms can be implemented using various computational models, such as Turing machines or programming languages.

7. Complexity: The complexity of a decidable problem refers to the resources required, such as time and space, to solve it. Decidable problems can have varying levels of complexity, ranging from those that can be solved efficiently to those that require significant computational resources.

8. Application: Decidable problems find applications in various domains of computer science, such as formal language theory, compiler design, program analysis, cryptography, and algorithmic decision-making.

The notion of decidability is central to computability theory and plays a crucial role in understanding the limits of computation. Decidable problems are considered solvable within the realm of algorithms, providing a foundation for developing efficient algorithms and solving practical problems in various fields of computer science.

### Connection between decidability and recursive languages

The connection between decidability and recursive languages lies in the fact that a language is decidable if and only if it is a recursive language. In other words, decidability and recursiveness are two different ways of characterizing the same class of languages.

A language is considered decidable if there exists an algorithm or a Turing machine that can determine whether a given input string belongs to the language or not. This decision procedure must halt and produce the correct answer for every input string. A language is decidable if and only if there exists a Turing machine that recognizes it, meaning the Turing machine halts and accepts every string in the language and halts and rejects every string not in the language.

On the other hand, a recursive language is a language that can be effectively recognized by a Turing machine. It means that there exists a Turing machine that halts and accepts every string in the language and halts and rejects every string not in the language.

## The connection between decidability and recursive languages can be summarized as follows:

- If a language is decidable, it means that there exists a decision procedure, algorithm, or Turing machine that can effectively recognize the language. Thus, a decidable language is recursive.
- Conversely, if a language is recursive, it means that there exists a Turing machine that effectively recognizes the language. This Turing machine can be seen as the decision procedure or algorithm for deciding membership in the language, making the language decidable.

Therefore, decidability and recursiveness are equivalent properties for languages. A language is decidable if and only if it is a recursive language. This connection allows us to interchangeably use the terms "decidable language" and "recursive language" to refer to the same class of languages.

Understanding the connection between decidability and recursive languages is essential in formal language theory and computability theory. It helps establish the boundaries of what can be effectively computed and provides a foundation for analyzing the computational properties of languages. It also guides the development of algorithms and decision procedures for solving problems within these languages.

## Examples of decidable problems and their corresponding recursive languages

#### Membership Problem for Regular Languages:

The problem of determining whether a given string belongs to a regular language is decidable. The corresponding recursive language is the set of all strings that are accepted by a deterministic finite automaton (DFA) or recognized by a regular expression. Given a string and a DFA or regular expression, we can algorithmically determine if the string is in the language defined by the DFA or regular expression.

### Membership Problem for Context-Free Languages:

The problem of determining whether a given string belongs to a context-free language is decidable. The corresponding recursive language is the set of all strings that can be derived from the start symbol of a given context-free grammar. Given a string and a context-free grammar, we can algorithmically determine if the string can be generated by the grammar.

#### Halting Problem:

The halting problem, which asks whether a given Turing machine halts on a given input, is undecidable. However, there are specific variations of the halting problem that are

decidable. For example, the problem of determining whether a given Turing machine halts on a particular input within a specified number of steps is decidable. The corresponding recursive language consists of all pairs (T, w, n), where T is a Turing machine, w is an input string, and n is a positive integer representing the maximum number of steps. The language contains all such pairs for which the Turing machine halts on the input within n steps.

#### **Emptyness Problem for Context-Free Languages:**

The problem of determining whether a given context-free grammar generates any strings, also known as the emptyness problem, is decidable. The corresponding recursive language consists of all context-free grammars that generate at least one string. Given a context-free grammar, we can algorithmically determine if it produces any strings by analyzing the productions and the reachability of non-terminal symbols.

#### Equivalence Problem for Regular Expressions:

The problem of determining whether two regular expressions define the same language is decidable. The corresponding recursive language consists of pairs of regular expressions that define equivalent languages. Given two regular expressions, we can algorithmically check if they produce the same language by comparing their structural properties and generating equivalent automata.

These are just a few examples of decidable problems and their corresponding recursive languages. Decidability is a fundamental property in formal language theory, and there are many other decidable problems related to regular languages, context-free languages, and other classes of languages.

## The Arithmetical Hierarchy

The Arithmetical Hierarchy is a concept in computability theory and mathematical logic that classifies formulas and sets based on their complexity within the realm of arithmetic. It provides a hierarchy of increasing computational power, with each level representing a higher degree of complexity.

The Arithmetical Hierarchy is defined using formulas in the language of first-order arithmetic, which includes logical symbols, quantifiers ( $\forall$ ,  $\exists$ ), arithmetic operations (addition, multiplication), and relation symbols ( $\leq$ , =). The formulas are built from atomic formulas involving arithmetic expressions and variables.

The levels of the Arithmetical Hierarchy are denoted by  $\Sigma^{0}_{0}$ ,  $\Pi^{0}_{0}$ ,  $\Sigma^{0}_{1}$ ,  $\Pi^{0}_{1}$ ,  $\Sigma^{0}_{2}$ ,  $\Pi^{0}_{2}$ , and so on, where the superscript represents the quantifier alternation and the subscript



represents the number of unbounded quantifiers. The levels alternate between existential  $(\exists)$  and universal  $(\forall)$  quantifiers.

At the lowest level,  $\Sigma^{0}$ , are the computable sets, also known as decidable or recursive sets. These are sets of natural numbers that can be effectively decided by a Turing machine. The formulas at this level involve only bounded quantifiers ( $\forall n, \exists n$ ) and can express properties that can be verified algorithmically.

Moving up the hierarchy, the  $\Sigma^{0}$  level represents recursively enumerable sets, also known as semi-decidable sets. These are sets of natural numbers for which there exists a Turing machine that halts and accepts any input belonging to the set, but may run indefinitely on inputs not in the set. The formulas at this level allow the use of unbounded existential quantifiers ( $\exists$ n), indicating the existence of an element satisfying a certain property.

The  $\Pi^{0_1}$  level is the complement of the  $\Sigma^{0_1}$  level and represents co-recursively enumerable sets. These are sets for which there exists a Turing machine that halts and rejects any input not belonging to the set, but may run indefinitely on inputs in the set. The formulas at this level

involve unbounded universal quantifiers ( $\forall$ n), indicating that every element satisfies a certain property.

The hierarchy continues with higher levels  $\Sigma_{2}^{0}$ ,  $\Pi_{2}^{0}$ ,  $\Sigma_{3}^{0}$ ,  $\Pi_{3}^{0}$ , and so on, each representing an increase in computational power and complexity. These levels capture more intricate properties and subsets of natural numbers, with formulas involving additional quantifier alternations and nesting.

The Arithmetical Hierarchy has significant implications in mathematical logic, computability theory, and complexity theory. It provides a framework for classifying problems and formulas based on their computational properties. Understanding the levels of the hierarchy helps analyze the limits of computability and the complexity of decision procedures. The hierarchy also serves as a foundation for studying more advanced hierarchies, such as the Analytical Hierarchy and the Projective Hierarchy, which extend the concept to higher-order logics and set theories.

# Recursive languages and their classification within the arithmetical hierarchy

Recursive languages, also known as decidable languages, are a class of languages that can be effectively decided by a Turing machine. These languages have a clear-cut decision procedure that halts and accepts or halts and rejects any input string.

In the context of the Arithmetical Hierarchy, recursive languages correspond to formulas in the lowest level,  $\Sigma^{0}$ . These formulas involve only bounded quantifiers ( $\forall n, \exists n$ ) and can express properties that can be verified algorithmically.

The classification of recursive languages within the Arithmetical Hierarchy is based on their properties and the complexity of the formulas that describe them. Since recursive languages have a decision procedure, they can be expressed using formulas that involve a finite number of quantifiers.

For example, let's consider the language  $L = \{0^n1^n \mid n \ge 0\}$ , which consists of strings of 0s followed by an equal number of 1s. This language is a recursive language because there exists an algorithmic procedure to determine whether a given input string belongs to L.

The language L can be represented by a formula in the language of first-order arithmetic. The formula would involve a bounded quantifier ( $\forall$ n) to specify that the number of 0s and 1s should be the same. The formula would also involve arithmetic operations and relation symbols to define the constraints on the string.

The formula that represents the language L is at the  $\Sigma^{0}$  level of the Arithmetical Hierarchy. It expresses a property that can be effectively checked using a Turing machine or an algorithm.

In summary, recursive languages, being decidable by nature, are classified within the lowest level ( $\Sigma^{0}_{0}$ ) of the Arithmetical Hierarchy. The formulas representing these

languages involve bounded quantifiers and express properties that can be algorithmically verified. Understanding the classification of recursive languages within the Arithmetical Hierarchy helps analyze their complexity and relationship to other classes of languages.

## Relationship between the arithmetical hierarchy and complexity classes

The Arithmetical Hierarchy provides a framework for classifying formulas and sets based on their complexity within arithmetic. While the Arithmetical Hierarchy is primarily concerned with logical and set-theoretic properties, there is a connection between the hierarchy and complexity classes in computational complexity theory.

Complexity classes, such as P (polynomial time) and NP (nondeterministic polynomial time), capture the computational complexity of problems and sets of decision problems. These complexity classes focus on the efficiency of algorithms and the resources required to solve problems.

The relationship between the Arithmetical Hierarchy and complexity classes can be seen through the notion of relativization. Relativization is a technique that examines the behavior of computational models, such as Turing machines, with respect to oracles—additional sources of information or decision-making power.

For example, the concept of the arithmetical hierarchy can be relativized to obtain complexity classes like P^NP and NP^NP. Here, the superscript denotes the oracle used in the computation. The classes P^NP and NP^NP capture the complexity of problems when additional decision-making power is available in the form of an NP oracle.

Additionally, the polynomial hierarchy (PH) is another hierarchy closely related to the Arithmetical Hierarchy. The polynomial hierarchy extends the concept of the Arithmetical Hierarchy by incorporating quantifiers beyond the first-order logic used in the Arithmetical Hierarchy. The polynomial hierarchy includes levels such as  $\Sigma_2$ ,  $\Pi_2$ ,  $\Sigma_3$ ,  $\Pi_3$ , and so on, each representing an increase in computational power and complexity.

The classes within the polynomial hierarchy, such as NP, co-NP, PSPACE (polynomial space), and EXPTIME (exponential time), are associated with different levels of the polynomial hierarchy. These classes capture different levels of computational complexity and represent increasingly difficult computational problems.

While the Arithmetical Hierarchy and complexity classes address different aspects of computation—logical properties versus algorithmic complexity—they are connected through the concepts of relativization and the polynomial hierarchy. This connection allows for a deeper understanding of the interplay between logical complexity and computational complexity and helps analyze the complexity of decision problems from both perspectives.